LSA #12-457

Red text denotes proposed changes approved by the Board in December 2012

Rule 3.1. Reading Plan

511 IAC 6.2-3.1-1 Definitions

Authority: IC 20-19-2-8; IC 20-32-8.5-4 Affected: IC 20-31; IC 20-32-8.5

Sec. 1. The following definitions apply throughout this rule:

- (1) "Core reading program" means a scientifically-based program that provides a scope and sequence that scaffolds instruction in accordance with state academic standards.
- (2) "Dedicated time" means that scientifically-based reading research is the primary basis for the instruction provided during that time period.
- (3) "Differentiated instruction" means the process of matching instruction to meet the different needs of students.
- (4) "English learner" means a student whose native language is not English and who is classified as a Level 1-4 limited English has not achieved a Level 5 fluent English proficient based on the LAS Links English proficiency assessment in two consecutive years.
- (5) "Individual learning plan" means the record keeping document developed for each English learner, outlining the student's level of English proficiency and instructional and assessment adaptations.
- (6) "IREAD-3" is the reading test approved by the board to test reading proficiency.
- (7) "Job-embedded time" means professional development that occurs during the course of the work day.
- (8) "Parent-guided home reading program" means a guidebook on how to promote reading at home.
- (9) "Principles of response to instruction" means the systemic process of meeting the educational needs of all students through the following:
 - (A) Professional accountability to ensure delivery of scientific research-based core curriculum and instruction.
 - (B) Ongoing monitoring of student data to assess instruction effectiveness.
 - (C) Determination and delivery of targeted and intensive individualized student supports.

Response to instruction guidance is available at the department's website.

- (10) "Reading deficiency" means reading at a level not equivalent to grade-level reading proficiency.
- (11) "Reading instruction" means instruction on the five (5) components of scientifically-based reading, which includes the following:
 - (A) Phonics.
 - (B) Phonemic awareness.
 - (C) Fluency.
 - (D) Vocabulary.
 - (E) Comprehension.
- (12) "Retention" means that a student shall have access to third-grade literacy instruction, shall be reported to the department as a third-grade student, and shall participate in state assessments at the third-grade level.
- (1312) "Scaffolding" means instruction that builds on a student's prior knowledge and internalizes new information.
- (1413) "Scientifically-based reading research" means research that includes the following:
 - (A) Scientific methods with an emphasis on experimental control or comparison groups.

- (B) Replication of results, using multiple studies by different investigators.
- (C) Ability to generalize results from one (1) sample to other children in the general population.
- (D) Fulfillment of rigorous standards with an emphasis on peer review.
- (E) Consistency of results between studies.

(Indiana State Board of Education; 511 IAC 6.2-3.1-1; filed Mar 25, 2011, 10:26 a.m.: 20110420-IR-511100635FRA)

SECTION 2. 511 IAC 6.2-3.1-2 IS AMENDED TO READ AS FOLLOWS:

511 IAC 6.2-3.1-2 Applicability

Authority: IC 20-19-2-8; IC 20-32-8.5-4

Affected: IC 20-24-8-5; IC 20-26-15; IC 20-31; IC 20-32-8; IC 20-32-8.5; IC 20-35-5; IC 36-1-7

Sec. 2. (a) This rule applies to:

- (1) elementary schools, including charter schools as set forth under IC 20-24-8-5(18) when application to charter schools is specifically noted, with exemptions for charter schools noted;
- (2) elementary schools organized by an interlocal agreement under IC 36-1-7;
- (3) special education cooperative organized under IC 20-35-5; and
- (4) accredited nonpublic school under IC 20-26-15 or 511 IAC 6.1-1-1.
- (b) A school is an elementary school under this rule if any students in the school attend kindergarten, first, second, or third grade.
- (c) Each school shall submit the details of a reading plan that includes components set forth in section 3 of this rule to the department on the June 30 before the school year of implementation.
- (d) If an entity under subsection (a) receives funding under IC 20-32-8, the entity shall prioritize that funding on resources for students who have a reading deficiency in grades 1 through 3. (*Indiana State Board of Education*; 511 IAC 6.2-3.1-2; filed Mar 25, 2011, 10:26 a.m.: 20110420-IR-511100635FRA; filed Mar 21, 2012, 11:28 a.m.: 20120418-IR-511110561FRA)

SECTION 3. 511 IAC 6.2-3.1-3 IS AMENDED TO READ AS FOLLOWS:

511 IAC 6.2-3.1-3 Reading plan; components

Authority: IC 20-19-2-8; IC 20-32-8.5-4

Affected: IC 20-20-31; IC 20-31; IC 20-32-8.5

Sec. 3. (a) A reading plan includes the following:

- (1) Membership of the reading leadership team.
- (2) Measurable student achievement goals for each grade level.
- (3) Reading instruction in accordance with section 4 of this rule.
- (4) Details of the manner in which the school plans to use formative and summative assessments for the following:
- (A) Sstudents in the school kindergarten through grade 2 that measure the following:
 - (Ai) Phonemic awareness.

- (Bii) Phonics.
- (Ciii) Fluency.
- (Div) Vocabulary.
- (Ev) Comprehension.
- (B) Students in grade 3 and higher that measure vocabulary and comprehension in relation to content knowledge.
- (5) Intervention in accordance with section 5 of this rule.
- (6) A requirement that all students taking ISTEP+ and IMAST assessments take the IREAD-3 assessment.
- (7) Beginning with the 2012-2013 school year, retention of a student in grade 3 if the student does not achieve a passing score on the IREAD-3 assessment during the previous school year or during a subsequent attempt at passing IREAD-3. The student shall not is not required to be retained if one (1) of the following applies to the student:
 - (A) The student has been retained two (2) times prior to promotion to grade 4 and the following requirements are met:
 - (i) the school determines that promotion is appropriate;
 - (ii) the school addresses learning needs of students who are promoted under this subsection.
 - (B) The student has a disability and a case conference committee has determined that promotion is appropriate.

The determination:

- (i) Shall be made after the intervention required under section 5 of this rule has been provided;
- (ii) In the discretion of the case conference committee, may be made prior to the summer administration of the IREAD-3.
- (C) The student is an English learner and a committee consisting of:
 - (i) the student's parent;
 - (ii) a building level administrator or designee;
 - (iii) a classroom teacher of service;
 - (iv) an English learner teacher, if one exists; and
 - (v) an English learner district administrator, if one exists;
 - determines that promotion is appropriate based on the implementation of researched-based instructional practices outlined in the student's individual learning plan. **The determination:**
 - (i) Shall be made after the intervention required under section 5 of this rule has been provided;
 - (ii) In the discretion of the individual learning plan team, may be made prior to the summer administration of the IREAD-3.
- (8) Promotion of students retained under subdivision (6) when the student achieves grade-level reading proficiency as demonstrated by passing IREAD-3.
- (9) Professional development for teachers that includes the following:
 - (A) Utilizing assessment data to target the measurable student achievement goals for each grade level.
 - (B) Development differentiated for teachers based on classroom data.
 - (C) Development of model classrooms within the school.

- (D) When possible, job-embedded time for professional development and collaboration.
- (10) A monitoring plan that evaluates the implementation of the reading plan.
- (b) A reading plan for a charter school will may be collected by a charter school's sponsor, and must shall include the following general-information:
- (1) In exchange for the freedom and flexibility allowed charter schools, an exceptional level of accountability as demonstrated through making determinant evaluations of reading skills by grade 3 based upon IREAD-3 data.
- (2) Retention as a last resort for students reading below grade level as measured by the IREAD-3 assessment, unless section 3(a)(7) of this rule applies.

required in this section, but does not need to meet the form prescribed by the department.

(Indiana State Board of Education; 511 IAC 6.2-3.1-3; filed Mar 25, 2011, 10:26 a.m.: 20110420-IR11100635FRA; filed Mar 21, 2012, 11:28 a.m.: 20120418-IR-511110561FRA)

SECTION 4. 511 IAC 6.2-3.1-4 IS AMENDED TO READ AS FOLLOWS:

511 IAC 6.2-3.1-4 Reading plan; instruction

Authority: IC 20-19-2-8; IC 20-32-8.5-4

Affected: IC 20-31; IC 20-32-8.5

- Sec. 4. (a) Reading instruction for all students in the school kindergarten through third grade must include the following:
- (1) A research-based core reading program that provides a scope and sequence in order to scaffold the instruction of scientifically-based reading, including the following:
 - (A) Phonemic awareness.
 - (B) Phonics.
 - (C) Fluency.
 - (D) Vocabulary
 - (E) Comprehension.
- (2) A dedicated, uninterrupted minimum ninety (90) minute block of time daily to all students. The time must include whole group instruction using a core reading program and small-group differentiated instruction. Half-day kindergarten programs must provide ninety (90) minutes of instruction but do not have to provide the instruction during an uninterrupted block of time.
- (b) The following exemptions apply:
 - (A) charter schools are exempt from this subdivision; and
 - (B1) public schools and accredited nonpublic schools in which ninety percent (90%) of students pass IREAD-3 are exempt from the ninety (90) minutes of uninterrupted instruction required under subsection (a)(2)this subdivision.
 - (2b) A school is not required to offer a research-based core reading program under subsection (a)(1) if:

- (4A) the state board determines that the school falls within one (1) of the top two (2) performance categories under 511 IAC 6.2-6-5; and
- (2B) ninety percent (90%) of students pass IREAD-3 during the school year immediately preceding the submission of the plan.
- (c) A sponsor of a charter school whose students do not pass IREAD 3 at ninety percent (90%) or higher shall require that charter school to implement scientifically based reading instruction. (Indiana State Board of Education; 511 IAC 6.2-3.1-4; filed Mar 25, 2011, 10:26 a.m.: 20110420-IR-511100635FRA; filed Mar 21, 2012, 11:28 a.m.: 20120418-IR-511110561FRA)

SECTION 5. 511 IAC 6.2-3.1-5 IS AMENDED TO READ AS FOLLOWS:

511 IAC 6.2-3.1-5 Reading plan; intervention

Authority: IC 20-19-2-8; IC 20-32-8.5-4 Affected: IC 20-31: IC 20-32-8.5

- Sec. 5. (a) A school shall intervene with students who have reading deficiency as determined by assessment results.
- (b) Intervention for students prior to the retention determination under section 3(a)(6) [section 3(6)] of this rule must include, but is not limited to, the following types of remediation:
- (1) Research-based materials that address reading deficiencies as determined by the assessment results.
- (2) Principles of response to instruction.
- (c) Intervention for a student who has a disability and a case conference committee may align with the requirements of the student's current IEP, if case conference committee considers the IREAD-3 assessment data and determines that modifications to the student's IEP should not be made.
- (ed) If a school intervenes, the school shall notify and involve the student's parent or guardian. The notice must include the following:
- (1) A description and explanation of the deficiency.
- (2) Proposed supplemental instruction services.
- (3) Strategies for parents to use to assist the student.
- (4) Notice that the student will be retained if the student does not achieve a passing score on the IREAD-3 assessment.
- (de) Intervention for students retained under section 3(a)(6) [section 3(6)] of this rule must include, but is not limited to, the following types of remediation:
- (1) Scientifically-based reading strategies that meet the student's needs.
- (2) Instruction by an effective teacher as measured by student performance results.
- (3) At least ninety (90) minutes of reading instruction each school day.
- (4) At least one (1) of the following instructional options:
 - (A) Tutoring before or after school.
 - (B) Parent workshops and a parent-guided home reading program.
 - (C) A mentor or tutor with specialized reading training and may include volunteers or school staff.

- (D) Extended-day programs.
- (E) Supplemental instruction services.

(Indiana State Board of Education; 511 IAC 6.2-3.1-5; filed Mar 25, 2011, 10:26 a.m.: 20110420-IR-511100635FRA)

SECTION 6. 511 IAC 6.2-3.1-6 IS ADDED TO READ AS FOLLOWS:

511 IAC 6.2-3.1-6 Reading plan; implementation

Authority: IC 20-19-2-8; IC 20-32-8.5-4 Affected: IC 20-31; IC 20-32-8.5

Sec. 6. (a) A school shall abide by its Reading Plan.

(b) If a school does not implement its Reading Plan in accordance with the provisions of this Rule, any flexibility otherwise available to the school under section 4 of this rule shall be void for the following school year. (Indiana State Board of Education; 511 IAC 6.2-3.1-6)